

AUDIT AND GOVERNANCE COMMITTEE AGENDA
for the virtual meeting on
Thursday 23rd July, 2020 at 9.30 a.m.

1. Apologies for Absence

To record any apologies for absence.

2. Declarations of Interest

To record any declarations of interest by any Member of the Cabinet in respect of items on this agenda.

Members declaring interests must identify the Agenda item and the type and detail of the interest declared.

(A) Disclosable Pecuniary Interest; or

(B) Personal Interest; or

(C) Prejudicial Interest

3. Minutes

To receive the Minutes of the meeting of Audit and Governance Committee held on 16th January, 2020 (copy attached).

4. Tracking of Recommendations

To receive a report from the Director of Resources and Governance on the tracking of recommendations of the Audit and Governance Committee (copy attached).

5. Head of Internal Audit Annual Report and Opinion 2019/20

To receive a report from the Head of Audit and Assurance on the overall arrangements for governance, risk and the internal control framework, taking into account those areas for which limited assurance was provided (copy attached).

6. Annual Governance Statement 2019/20

To receive a report from the Director of Resources and Governance, which lays out the Council's governance framework, how it obtains assurance that the governance framework is operating as intended, and those areas for focus in 2020/21 (copy attached).

7. Value for Money - Annual Report 2019/20

To receive a report from the Director of Resources and Governance summarising activity during 2019/20 in relation to securing value for money and identifying additional actions in 2020/21 (copy attached).

8. Treasury Management Outturn Report 2019-20

To receive a report from the Director of Resources and Governance containing details of treasury management arrangements, activity and performance during 2019-20 (copy attached).

9. Annual Fraud Report 2019/20

To receive a report from the Director of Resources and Governance, highlighting the work that has been undertaken for the prevention and detection of fraud, corruption and financial misconduct within North East Lincolnshire Council (copy attached).

10. Information Governance and Security – Annual Governance Report

To receive a report from the Director of Resources and Governance outlining the key information governance activities undertaken by the Council in 2019, and providing assurance that the Council across all work areas and functions remains compliant with its legal obligations and follows good practice (copy attached).

11. Annual Audit Committee Report

To receive a report from the Chair of the Audit and Governance Committee detailing its activities during the Council year and setting out how it has discharged its responsibilities (copy attached).

12. Annual Audit Plan 2020/21

To consider a report from the Head of Audit and Assurance presenting the Internal Audit Plan for 2020/21 and highlighting the planned work programme for Internal Audit (copy attached).

13. External Audit Strategy Memorandum

To receive a report from the Council's External Auditor presenting their Audit Strategy Memorandum for North East Lincolnshire Council for the year ending 31 March 2020 (copy attached).

14. External Audit Progress and Technical Update

To receive a report from the Council's External Auditor providing an overview on progress in delivering its responsibilities as our external auditors and highlighting the main technical issues which are currently having an impact in local government (copy attached).

15. Audit and Governance Committee Work Programme

To receive a report from the Chair of the Audit and Governance Committee setting out the proposed work programme for this Committee during 2020 – 2021 (copy attached).

16. Urgent Business

To receive any business which, in the opinion of the Chairman, is urgent by reason of special circumstances which must be stated and minuted.

17. Exclusion of Press and Public

To consider requesting the press and public to leave on the grounds that discussion of the following business is likely to disclose exempt information within the relevant paragraphs of Schedule 12A of the Local Government Act, 1972 (as amended).

18. Risk Management Annual Report

To receive a report from the Director of Resources and Governance providing a summary of the developments relating to risk management and the Strategic Risk Register as at 9 July 2020 (copy attached).

19. Issues for Discussion with the Internal and External Auditors

To provide an opportunity, at the close of this meeting, to discuss any confidential matters of concern with the External Auditor and the Head of Internal Audit.

ROB WALSH
CHIEF EXECUTIVE